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PTO/SB/05 (4/98)

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## UTILITY PATENT APPLICATION

|         |  | _          |   |
|---------|--|------------|---|
| Attorr  | ney Docket No.   673-1002                                  | 2          |   |
| First i | inventor or Application Identifier Goodman                 | <b>1</b> 9 |   |
| Title   | Message Signalling In A Synchronous Transmission Apparatus | w.E        |   |
|         |  |            | ١ |

TRANSMITTAL
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b) Express Mail Label No. EL 388 803 351 US

|               |   |   | Assistant Commissioner for Patents  |
|---------------|---|---|---|
|               | APPLICATION ELEMENTS  | instina anatonta                            | ADDRESS TO: Box Patent Application  |
|               | napter 600 concerning utility patent appli<br>Fee Transmittal Form (e.g., PTO/s |   | Washington DC 20231   |
| 1 1 1 1       | lubmit an original and a duplicate for fee                                      |   | 5. Microfiche Computer Program (Appendix)   |
| 2. X Sp       | · ·   | Pages 38 ]                                  | <ol> <li>Nucleotide and/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)</li> </ol>   |
| -             | Descriptive title of the Invention  |   | a. Computer Readable Copy   |
| - 0           | Cross References to Related Applic  | ations                                      | b. Paper Copy (identical to computer copy)  |
|               | Statement Regarding Fed sponsore  | ed R & D                                    | c. Statement verifying identity of above copies   |
|               | Reference to Microfiche Appendix  |   |   |
|               | Background of the Invention  Brief Summary of the Invention                     |   | ACCOMPANYING APPLICATION PARTS  |
| 1             | Brief Description of the Drawings (A  | f filed)                                    | 7. X Assignment Papers (cover sheet & document(s))  |
|               | Detailed Description  |   | 8. 37 C.F.R.§3.73(b) Statement Power of Attorney  |
| - (           | Claim(s)  |   | 9. English Translation Document (if applicable)   |
| - /-          | Abstract of the Disclosure  |   | Information Disclosure Copies of IDS  |
| 3. X Dr       | rawing(s) (35 U.S.C. 113) [Total :  | Sheets 3                                    | 10. Statement (IDS)/PTO-1449 Citations  |
| 4. Oath or    | Declaration [Total  | Pages 3 ]                                   | 11. Preliminary Amendment   |
| a.            | X Newty executed (original or o   | :ору)                                       | 12. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  |
| Ь. Г          | Copy from a prior application   | (37 C.F.R. § 1.63(                          |   |
| ° L           | (for continuation/divisional with E   |   | 13. Statement(s) Status still proper and desired  |
|               | Signed statement at   |   | Certified Copy of Priority Document(s)  |
|               | inventor(s) named in t  |   | (if foreign priority is claimed)  |
| L. LANCE BAR  | see 37 C.F.R. §§ 1.63<br>TITEMS 1 & 13: IN ORDER TO BE ENTITLED                 |   | 7 15. Other.  |
| FEES, A SM    | ALL ENTITY STATEMENT IS REQUIRED (37<br>ED IN A PRIOR APPLICATION IS RELIED UP  | C.F.R. § 1.27), EXCEPT                      |   |
|               |   |   | supply the requisite information below and in a preliminary amendment.  |
|               | ·   | <i>Continuation-in-part</i> (               | ,   |
| Pror et       | polication information: Examiner  |   | Group / Art Unit:   |
| For CONTIN    | UATION or DIVISIONAL APPS only: T   | he entire disclosure<br>ure of the accompan | of the prior application, from which an oath or declaration is supplied<br>lying continuation or divisional application and is hereby incorporated by |
| reference.    | The incorporation can only be relied u  | pon when a portion                          | has been inadvertently omitted from the submitted application parts.  |
|               | 17.   | CORRESPOND                                  | ENCE ADDRESS  |
|               |   |   | or XI Correspondence address below  |
| Custor Custor | mer Number or Bar Code Label (Inse.   | n Customer No. or All                       | or LXI Correspondence address below tach bar code label here)   |
|               | William M. Lee, Jr.   | ***************************************     | construction and the second second feet   |
| Name          | Lee, Mann, Smith, McWil   | liams, Sweenev                              | y & Ohlson  |
|               |   |   |   |
| Address       | P.O. Box 2786   |   |   |
| City          | Chicago   | State                                       | Illinois Zip Code 60690-2786  |
| Country       | USA   | Telephone                                   | (312) 368-1300 Fax (312) 368-0034   |
| Name          | (PnnvType) Peter, J. Shakula  |   | Registration No. (Attorney/Agent) 40,808  |
| Signatu       |   | h_  | Date 3/29/00  |
|               |   | <del>-</del>                                |   |

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| CASE NU. | 675-1002 |
|----------|----------|
|          | Kelly    |

Please acknowledge receipt of the enclosed:

David M. Goodman

Message Signalling In A Synchronous **Transmission Apparatus** 

SERIAL NO: To be assigned

FILING DATE: Herewith

- 1. Utility Patent Application Transmittal
- 2. Fee Transmittal for FY 1999 in duplicate
- 3. for \$1042.00
- 4. Assignment Transmittal and Assignment
- 5. Declaration and Power of Attorney
- 6. Specification with three (3) sheets of drawings
- 7. Certificate of EXPRESS MAIL
- 8. Return Post Card.

DATE SENT:

March 29, 2000

WJR:lmb

"Express Mail" mailing label number

EL388803351US

Date of deposit: March 29, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Richard Sensenbrenner

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Patent lees are subject to annual revision. Small Entity payments (flust be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/S8/09-12.

| TOTAL | <b>AMOUNT</b> | OF | PAY | MENT |
|-------|---------------|----|-----|------|
|-------|---------------|----|-----|------|

(\$) 1042

| C                    | omplete if Known | 36  |
|----------------------|------------------|-----|
| Application Number   |                  | 3.5 |
| Filing Date          |                  | 20  |
| First Named Inventor | Goodman          | 96  |
| Examiner Name        |                  |     |
| Group / Art Unit     |                  |     |
| Attorney Docket No.  | 673-1002         |     |

| METHOD OF PAYMENT (check one)   |  | FEE CALCULAT                             | NON (continued                               | )           |
|---|--|--|--|-------------|
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | 3. ADDITIONAL<br>Large Entity Small I<br>Fee Fee Fee<br>Code (\$) Code | Entity<br>Fee Fee D                      | escription                                   | Fee Paid    |
| Deposit<br>Account 12-0913  |  | 65 Surcharge - tate fi                   | ling fee or cath                             |             |
| Number Deposit Account Name Lee, Mann, Smith, McWilliams, Sweeney & Ohlson                      | 127 50 227   | 25 Surcharge - izte p<br>cover sheet.    | rovisional filing fee or                     |             |
| X Charge Any Additional   | 139 130 139 1  | 30 Non-English spec                      | ification                                    |             |
| Fee Required Under<br>37 CFR 1.16 and 1.17  | 147 2,520 147 2,   | 520 For filing a reques                  | t for reexamination                          |             |
|   | 112 920° 112 9   | 20° Requesting public<br>Examiner action | ation of SIR prior to                        |             |
| 2. X Payment Enclosed: X Check Money Other  | 113 1,840* 113 1   |  | ation of SIR after                           |             |
|   | 115 110 215  | 55 Extension for repl                    | y within first month                         |             |
| FEE CALCULATION   | 116 380 216 1  | 90 Extension for repl                    | y within second monti                        | <b>,</b>    |
| 1. BASIC FILING FEE   | 117 870 217 4  | 35 Extension for repl                    | y within third month                         |             |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description                                       | 118 1,360 218 6  | 80 Extension for repl                    | y within fourth month                        |             |
| Code (\$) Code (\$) Fee Paid 101 760 201 380 Utility filing fee 690                             | 128 1,850 228 92   | 5 Extension for repl                     | y within fifth month                         |             |
| 106 310 206 155 Design filing fee   | 119 300 219 1  |  |  |             |
| 107 480 207 240 Plant filing lee  | 120 300 220 1  | <b>3</b> 0                               | pport of an appeal                           | <b></b>     |
| 108 760 208 380 Reissue filing fee  | 121 260 221 1  |  | •  |             |
| 114 150 214 75 Provisional filing lee   | 138 1,510 138 1,5  | Antilla a ta analas                      | a public use proceed                         |             |
|   |  | S5 Petition to revive                    |  |             |
| SUBTOTAL (1) (\$) 690   | 141 1,210 241 5  | 4 mm - 1 4 4 -                           |  |             |
| 2. EXTRA CLAIM FEES   | 142 1,210 242 6<br>143 430 243 2                                       |  | 186500)                                      |             |
| Extra Claims below Fee Paid   | 1.0  | 90 Plant issue fee                       |  |             |
| Total Claims 13 -20* 0 X 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1                                    |  | 30 Petitions to the C                    | ommissioner                                  |             |
| Multiple Dependent  |  | ••                                       | o provisional applicati                      | ons ·       |
| or number previously paid, if greater, For Reissues, see below                                  | 126 240 126 2  | 40 Submission of Infi                    | ormation Disclosure S                        | itmt        |
| Large Entity Smail Entity Fee Fee Fee Fee Fee Description Code (5) Code (3)                     | 581 40 581   |  | atent assignment per<br>imber of properties) | 40          |
| 103 18 203 9 Claims in excess of 20   | 146 760 246 3  |  | n after final rejection                      |             |
| 102 78 202 39 Independent claims in excess of 3   | 149 760 249 3  | (37 CFR 1.129(a)                         | •  | <b> </b>    |
| 104 260 204 130 Multiple dependent claim, il not paid   | ,-3 ,00 .43  | For each addition<br>examined (37 CF)    |  |             |
| 109 78 209 39 ** Reissue independent claims<br>over original patent                             | Other fee (specify)  |  |  |             |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent                         | Other fee (specify) _  | <del></del>                              |  |             |
| SUBTOTAL (2) (\$) 312   | *Reduced by Basic F  | iling Fee Paid S                         | UBTOTAL (3) (\$                              | 3) 40       |
| SUBMITTED BY  |  |  | Complete (if                                 | apolicable) |
| Typed or Printed Name Peter J. Shakula  |  |  | Reg. Number                                  | 40,808      |

| SUBMITTED B              | Υ                |              | Complete (if               | apolicable) |
|--------------------------|------------------|--------------|----------------------------|-------------|
| Typed or<br>Printed Name | Peter J. Shakula |              | Reg. Number                | 40,808      |
| Signature                | In/she           | Date 3/29/00 | Deposit Account<br>User ID |             |

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